

INDIVIDUAL SHAREHOLDERS QUESTIONNAIRE (ISQ)

(OR NATURAL PERSON OF SIGNIFICANT CONTROL)

This information is being requested as you have been stated as a Shareholder or Person of Significant Control (PSC) of an Applicant (or Recipient) of services being provided or facilitated by ourselves.

SECTION (1): MAIN PRINCIPAL APPLICANT

NAME OF CORPORATION / APPLICANT:		Reference Number <i>(If You Have One)</i>
DO YOU HOLD A JOB OR WORKING POSITION WITHIN THE APPLICANT?	Yes No If So, Position Held: <div style="border: 1px solid black; width: 150px; height: 25px; margin-left: 100px;"></div>	ARE YOU A PROFESSIONAL INVESTOR? Yes No DO YOU HAVE OTHER INVESTMENTS? Yes No

SECTION (2): YOUR PERSONAL DETAILS

FAMILY NAME:		FORENAME(S):	
DATE OF BIRTH:		NATIONALITY:	
PLACE OF BIRTH:		PASSPORT NUMBER:	
ETHNICITY:		PASSPORT EXPIRY DATE:	
OCCUPATION OR PROFESSION:		PLACE OF ISSUE:	
		DO YOU OWN A SECOND PASSPORT OR HOLD DUAL CITIZENSHIP? INCLUDING A UNITED STATES GREEN CARD:	Yes No
YOUR RESIDENTIAL ADDRESS:			
		<i>Please include international dialling codes.</i>	
Town:		MOBILE NUMBER:	
Postal / Zip Code:		HOME TELEPHONE:	
COUNTRY:		OFFICE TELEPHONE:	
HAVE YOU EVER BEEN A RESIDENT OF ANOTHER COUNTRY IN THE PAST 10 YEARS?	Yes No	PLEASE DESCRIBE YOUR CURRENT STATE OF HEALTH:	
		GOOD FAIR POOR	

If YES; PLEASE STATE PREVIOUS COUNTRIES OF RESIDENCE:	EMAIL ADDRESS: Please provide a confidential email address

SECTION (3): YOUR RESUMÈ - ABOUT YOUR PROFESSION & EXPERIENCE

ARE YOU A
UNIVERSITY
GRADUATE?

Yes

No

YEAR OF
GRADUATION:

NAME OF
INSTITUTION:

PLACE:

PROFESSIONAL QUALIFICATIONS & MEMBERSHIPS

PLEASE GIVE DETAILS OF FURTHER EDUCATION OR PROFESSIONAL QUALIFICATIONS:

ARE YOU A MEMBER
OF A PROFESSIONAL
BODY OR
ASSOCIATION?

Yes

No

YEAR JOINED:

NAME OF
INSTITUTION:

PLACE:

PLEASE DETAIL ANY
OTHER MEMBERSHIPS
& ASSOCIATIONS:

PROFESSIONAL HISTORY & EXPERIENCE

PLEASE GIVE BRIEF DETAILS OF YOUR PROFESSIONAL / EMPLOYMENT HISTORY:

DATE START:	DATE END:	JOB TITLE:	DESCRIBE DUTIES IN BRIEF:

SECTION (4): OTHER DIRECTORSHIPS, SHAREHOLDINGS & INTERESTS

Please provide information about any other Shareholdings and interests (other than within the Applicant) – Where you either;

(a) act as a Director, and/or;
(b) hold a principal or management office, and/or;
(c) own or hold a shareholding and/or have or hold a significant controlling interest.

I HEREBY DECLARE MY INTERESTS IN THE FOLLOWING COMPANIES, ORGANISATIONS and/or ASSOCIATIONS:

Company or Entity Name:		Country of Incorporation:		Position Held:		Shareholder	Yes No
Company or Entity Name:		Country of Incorporation:		Position Held:		Shareholder	Yes No
Company or Entity Name:		Country of Incorporation:		Position Held:		Shareholder	Yes No
Company or Entity Name:		Country of Incorporation:		Position Held:		Shareholder	Yes No
Company or Entity Name:		Country of Incorporation:		Position Held:		Shareholder	Yes No
Company or Entity Name:		Country of Incorporation:		Position Held:		Shareholder	Yes No
Company or Entity Name:		Country of Incorporation:		Position Held:		Shareholder	Yes No
Company or Entity Name:		Country of Incorporation:		Position Held:		Shareholder	Yes No
Company or Entity Name:		Country of Incorporation:		Position Held:		Shareholder	Yes No

Have you:

- | | | |
|--|-----|----|
| (a) Ever been made subject to bankruptcy or insolvency order or have been made bankrupt? | Yes | No |
| (b) Ever entered into a Individual Voluntary Arrangement (IVA) or Company Voluntary Arrangement (CVA)? | Yes | No |
| (c) Ever been banned from acting as a Company Director? | Yes | No |
| (d) Been convicted of a criminal offence for anything other than motoring offences? | Yes | No |
| (e) Owned or currently own a Work Permit or Residency Permit in any other Nation other than your current residency, including any United States Work Permit? | Yes | No |

If 'YES' to any of the above, please provide details:

SECTION (5): PERSONAL ASSET & LIABILITY STATEMENT

Assets	State Currency		Liabilities	State Currency	
	CHF	GBP (£)		CHF	GBP (£)
	Euro (€)	Other		Euro (€)	Other
Value of Private Primary Residence:	<input type="text"/>		Residential Loans and Mortgages:	<input type="text"/>	
Real Estate Property:	<input type="text"/>		Other Loans and Mortgages:	<input type="text"/>	
Liquid or Cash Accounts:	<input type="text"/>		Bank Loans / Overdrafts:	<input type="text"/>	
Investments: <i>(Quoted Stocks & Bonds)</i>	<input type="text"/>		Other Credit or Loans:	<input type="text"/>	
Other: <i>(please specify)</i>	<input type="text"/>		Other: <i>(please specify)</i>	<input type="text"/>	
Value of Shareholdings within the Applicant and other entities declared in Section (4): <i>(if applicable)</i>	<input type="text"/>				
Cars / Boats / Aircraft:	<input type="text"/>		Hire Purchase of Lease Contracts:	<input type="text"/>	
TOTAL PERSONAL ASSETS:	<input type="text"/>		TOTAL PERSONAL LIABILITIES:	<input type="text"/>	

The above information will remain confidential at all times

SECTION (6): IDENTITY PROOFS REQUIRED

IMPORTANT:

Please provide the following documents when returning this document.

Copy Of Passport (Clear Colour Copy)

Utility Bill for Proof of Residential Address

Copy of most recent Curriculum Vitae (or brief Resumé)

SECTION (7): DECLARATION

I hereby swear, under penalty of perjury, that the information provided herein and in any other attached documentation is both true and accurate and I note that I have provided this information in compliance with Anti-Money Laundering Policies set forth by the Financial Action Task Force (FATF) 6/01.

Signed:

Dated: