

## INSTRUMENT FOR MONETISATION

*This form should be issued with a completed Client Information Profile (CIP).  
This information will remain confidential at all times.*

### (A) SUBMIT A FINANCIAL INSTRUMENT FOR MONETISATION OR SALE

**YOUR NAME:**  **COMPANY NAME:**

**DATE:**

#### PLEASE TELL US ABOUT THE FINANCIAL INSTRUMENT

**TITLE OF INSTRUMENT:**  
(e.g. Guarantee, Gold Bond, Pay order, etc)

**CATEGORY OF INSTRUMENT:**

Demand Guarantee (i.e. Bank Guarantee URDG)
  Standby Letter of Credit (SBLC)
  Bill of Exchange
  MTN or EMTN

Bond
  Bank Pay Order
  Other  Please specify

**JURISDICTION / PLACE OF ISSUE:**  
(e.g. Country / City)

**DATE OF ISSUE:**  **DATE OF MATURITY OR EXPIRY:**

**JURISDICTION OR PLACE OF PRESENTATION FOR PAYMENT:**

**NAME OF ISSUER:**

**ADDRESS OR BRANCH OF ISSUE:**  
(Include full address or branch code)

**CUSIP:** (if applicable)  **ISIN:** (if applicable)

**FACE VALUE AT ISSUE:**  
(please state currency)  **VALUE AT MATURITY:**  
(if applicable)

**COUPONS ATTACHED?**  YES  NO **COUPON RATE or VALUE: (%'age)**

**HAVE COUPONS BEEN CLAIMED or PAID?**  YES  NO **IF YES, DATE OF LAST COUPON PAYMENT:**

**HAVE YOU HAD THE INSTRUMENT VERIFIED AND PROFESSIONAL AUTHENTICATED?**  YES  NO **IF YES, WHAT IS THE AUTHENTICATED CURRENT VALUE:**

## (B) ABOUT PROVENANCE OF THE INSTRUMENT

ARE YOU CURRENTLY IN PHYSICAL POSSESSION OF THE INSTRUMENT?

YES  NO

If YES, how long has the instrument been in your possession?

If NO, who is in possession of the Instrument?

Where is the instrument currently held?

PLEASE STATE:

I am the TRUE BENEFICIAL OWNER  I represent the beneficial owner under POA  
 I am the Issuer of the Instrument  I represent the Issuer of the Instrument

HOW DID YOU RECEIVE THE INSTRUMENT?

I purchased the Instrument  I inherited the Instrument in a gift or will  
 It was issued to me by the Issuer  Other – please give details

PLEASE GIVE US FURTHER DETAILS HOW YOU COME TO RECEIVE THE INSTRUMENT:

If purchased, what price did you pay?

Date of purchase:

DO YOU HAVE FULL PROVENANCE or HISTORY OF THE INSTRUMENT?

YES  NO

***In stating the above information, you declare that your ownership (if applicable), the provenance and the authenticity of the Instrument is legal and that the Instrument has been legally obtained.***

## (C) ABOUT YOUR INTENTION

WHAT DO YOU INTEND TO DO WITH THE INSTRUMENT?

RAISE CREDIT AGAINST IT

Desired Credit Amount:

Kindly advise us what you wish to do with the Instrument and why you are making this application.

SELL THE INSTRUMENT

Target Sale Price:

INVEST IT INTO AN INVESTMENT PLATFORM

OTHER (please give details)

**Please ensure that you complete the Declaration overleaf.**

## DECLARATION

I understand that the information provided herein and other information that may be attached to this documentation will be examined in accordance with the due diligence procedures defined under Swiss Law. Please accept this form and any attachments as authorisation for IntaCapital Swiss SA to undertake any necessary due diligence investigations, including the search of financial, credit history or criminal databases in respect of myself, my company and any other associated parties.

*Signed:*

In making this application and providing the information contained herein, I hereby declare that the Instrument being submitted herewith is true, legal and correct and is fully verifiable under normal protocols. I accept that IntaCapital Swiss SA and its associated parties take no responsibility in the handling of false or fraudulent documents that I pass to them for verification and authentication. In making this application, I note that I am applying for credit facilities or to sale and market the aforementioned Instrument and therefore attest the authenticity of the Instrument.

*Signed:*

I hereby swear, under penalty of perjury, that the information provided herein and in any other attached documentation is both true and accurate and I further confirm that any funds to be engaged in this transaction contemplated are derived from non-criminal origin; and, are good, clean and cleared. The origin of all funds are in compliance with Anti-Money Laundering Policies set forth by the Financial Action Task Force (FATF) 6/01.

**Dated:**